

# **Committee of the Whole**

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## **November 13, 2014 Handouts**

1. Item VII B1: Re-Entry Program Report
2. Item VIII E1: Resolution for Tax Anticipation Warrants
3. Item VIII J1-2: General Corporate Fund FY2014 Budget Projection & Budget Changes Reports

**Reentry Program Numbers**  
10/30/2014

**107 Total Contacts**

<b>Referral Source</b>
Jail: 84
Self-Referral: 6
Community Elements Referral: 5
DOC Referral: 11
Outside Referral: 1

**85 Total Screenings**

Referral Source	Supervision and Justice-Involvement Status	Previous Engagement at Community Elements Total: 19 (Overlapping Services)
Jail: 63	Probation: 31	JDL*: 12
Self-Referral: 5	Parole: 19	Access: 8
Community Elements Referral: 5	No stipulations: 30	Criminal Justice: 25
DOC Referral: 11	Conditional Discharge: 3	Crisis: 10
Outside Referral: 1	Drug Court: 2	Psychiatrist: 8
		Respite: 5
		Substance Abuse Services: 4

\*Jail Data Link (JDL) is a database that crosschecks mental health histories of justice-involved individuals. Any previous contact with Community Elements, denoted by JDL, indicates that previous contact was limited to screening in the jail by the Community Support Case Manager.

**Reentry Program Numbers**  
**10/30/2014**

**19 Active and/or Pending Reentry Participants**

<b>Referral Source</b>	<b>Supervision and Justice-Involvement Status</b>	<b>Previous Engagement at Community Elements Total: 5 (Overlapping Services)</b>
Jail: 6	Probation: 6	JDL*: 3
Self-Referral: 2	Parole: 12	Criminal Justice: 4
Community Elements Referral: 1	No stipulations: 1	Community Support: 1
DOC Referral: 10		Substance Abuse Services: 1
Outside Referral: 0		

**19 Active and/or Pending Service or Treatment Plans**

<b>Reentry Support Sought</b>
Housing: 7 (53.8%)
Educational: 0 (0%)
Vocational: 7 (53.8%)
Benefits/Community Resources: 9 (69.2%)
Medical: 7 (53.8%)
Behavioral Health: 8 (61.5%)
Transportation: 3 (23.1%)

**UnDuplicated Client Assignments**

**COMMUNITY ELEMENTS, INC.**

\*\*\* Selections \*\*\*

**SubUnit Selection: 2143 Re-Entry**

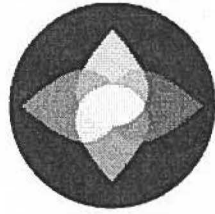
**Clients who had Assignments from: 06/01/2014 thru 10/30/2014**

**Clients constrained by Staff Access**

Report: AZ152RG  
Staff: BLODGETT, CELESTE

Date: 11/04/2014  
Time: 12:48

	Total	
Report Total:	85	
Ethnct: B-African American	63	
Ethnct: C-Caucasian	20	
Ethnct: H-Hispanic	1	
Ethnct: O-Other	1	
MarSts: D-Divorced	19	
MarSts: M-Married	7	
MarSts: N-Never Married	54	
MarSts: S-Separated	4	
MarSts: W-Widowed	1	
Sex: Female	14	
Sex: Male	71	
Age: 0-25	19	
Age: 26-40	33	
Age: 41-55	24	
Age: 56-70	9	
Race: E-Black/African American	62	
Race: F-White/Caucasian	22	
Race: O-Other	1	



# community elements

wellness and recovery for the community

## Reentry Program Report to Champaign County Board

Date of Contract: February 24, 2014

### Quarterly Report Schedule:

- May 29, 2014 - completed
- August 28, 2014 - completed
- November 26, 2014
- February 27, 2015

Community Elements' contract with Champaign County specified the following activities:

- Form a Reentry Council
- Research best-practices
- Conduct a needs assessment, including interviews and site visits
- Identify gaps and barriers
- Form recommendations and disseminate information
- Bring additional partners to the table
- Facilitate data collection and tracking
- Develop program and resources
- Provide linkage and services

## The Reentry Council

<b>Agency or Title Represented</b>	<b>Individual</b>	<b>Original Member</b>	<b>New Member</b>
State's Attorney's Office	Julia Rietz	*	
Sheriff's Office	Dan Walsh & Allen Jones	*	
The Judiciary	Roger Holland	*	
U.S. Attorney's Office	Ronda Coleman		*
Champaign County Probation	Joe Gordon	*	
IDOC Parole	Todd Bailey & Ted Clausing	*	
U.S. Probation	Gwen Powell		*
Champaign County Board	Astrid Berkson & Jim McGuire	*	
CCMHB	Mark Driscoll	*	
Citizen Representative	Marlon Mitchell	*	
Community Elements	Sheila Ferguson	*	
Prairie Center	Bruce Suardini	*	
TASC	Kent Holsopple		*

## Best Practices, Recommendations, and Outcomes

### For Successful Reentry Programming

- Establish contact with incarcerated people early pre-release, to ensure successful transition.
- Assess level of recidivism risk with an evidence-based risk-needs-responsivity assessment, such as the LSI-R, and match services to offenders' risk level/need.
- Ensure integration and collaboration through information sharing.
- Facilitate community, family, and peer supports and integration, when possible.
- Enhance post-release supervision through specialized case management and support services.
- Provide follow-up support from community provider to inmates with mental health or substance abuse disorders.
- Promote and advocate for individualization and client involvement in all services.
- Establish a formal advisory group for formerly incarcerated individuals.

### Reentry Components

**Data** – The Courts agreed to provide a comparison group, as well as build a database to flag recidivism of reentry program participants. Program specific outcome measures will be tracked and evaluated. At this time, Community Elements is tracking:

Number of:

- Referrals and screenings
- Unduplicated clients served
- (and type of) Clinical services provided
- (and type of) Case management services provided
- Successful discharges from the program

Once a database is developed and a comparison group is established, outcome measures will include recidivism rate, time to failure, and severity of offense.

**Crisis** – Once a dataset has been established, we will track the suicide rate of the reentry population and continue to work closely with law enforcement’s crisis response team.

**Housing** - A variety of housing resources must be provided, to address the needs of the reentry population. Many aspects of housing could be related to positive outcomes (i.e. stability, quality, and affordability of the actual housing unit, as well as the location of the housing, and accessibility of affordable and stable housing.)

**Education & Employment** - Assessment of education level and employability will be integrated into reentry system by making it a component of intake and case management services and closely linking it to support and employment services. Outcome measures, will include educational achievement, skill development, job placement and retention, etc.

**Peer Mentoring and Community Support** – Peer mentoring is an important component to successful reentry programming. As the Reentry Program moves forward, considerations should be made for ongoing support of this, as well as a family support component.

### Future Program Plan

- Build and maintain a network of reentry partnerships throughout community.
- Build direct service continuum with support from the County and other funding sources.
- Develop additional services based on demonstration of need.
- Develop and deliver training for Marlon Mitchell’s peer mentoring group.
- Stay abreast of current reentry research and best-practices.
- Evaluate program outcomes.
- Modify and improve program, based on formative and summative program evaluation.

### As of October 30, 2014...

- 107 total contacts
- 85 completed screenings
- 19 active/pending individuals on caseload
- 3 successful discharges

\* Please see the **Reentry Program Numbers 10/30/14** Handout for specific information.



**RESOLUTION NO. \_\_\_\_\_**

**A RESOLUTION AUTHORIZING THE ISSUANCE OF TAX ANTICIPATION WARRANTS OF THE COUNTY OF CHAMPAIGN, ILLINOIS, AND PROVIDING THE DETAILS OF SUCH WARRANTS, AND RELATED MATTERS**

**WHEREAS**, the County Board (the “**Corporate Authorities**”) of The County of Champaign, Illinois (the “**Issuer**”), is a non-home rule unit under the provisions of Section 7 (Counties and Municipalities Other Than Home Rule Units) of Article VII (Local Government) of the Constitution of the State of Illinois, as supplemented and amended, including by the Counties Code, the Warrants and Jurors Certificates Act, the Registered Bond Act, the Bond Replacement Act, the Bond Authorization Act and the Local Government Debt Reform Act (collectively, the “**Act**”); and

**WHEREAS**, pursuant to a tax levy proceedings adopted or to be adopted November 20, 2014 (as supplemented, the “**Tax Levy Proceedings**”), to be filed, with supporting documents, in the Champaign County tax extension records, the Issuer levied taxes for the tax year 2014, to be extended, collected, billed and received in 2015 (to the extent not yet received by the Issuer, the “**Taxes**”); and

**WHEREAS**, it is imminent that there will be insufficient funds from time to time in the Issuer's general fund to pay general county operating expenses and liabilities; and

**WHEREAS**, pursuant to and in accordance with the Act and this resolution, the Issuer is authorized to issue its Tax Anticipation Warrant, Series 2014, as the case may be, at one time or from time to time, up to the aggregate principal amount of \$971,120 (the “**Warrant**”) for the purpose of anticipating the receipt of one or more of the installments of Taxes, in order that the Issuer have operating funds and to pay costs of issuance of the Warrant; and

**WHEREAS**, pursuant to arrangements to be made from time to time on behalf of the Issuer, one or more banks or other financial institutions (including assigns and otherwise as specified in an Authenticating Order, as applicable, the “**Purchaser**”), are to purchase the Warrant; and

**WHEREAS**, for convenience of reference only this resolution is divided into numbered sections with headings, which shall not define or limit the provisions hereof, as follows:

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**NOW, THEREFORE, BE IT RESOLVED BY THE COUNTY BOARD OF THE COUNTY OF CHAMPAIGN, ILLINOIS, as follows:**

**Section 1. Authority and Purpose.** This resolution is adopted pursuant to the Act for the purpose of anticipating receipts of the Taxes for the payment of general operating expenses and liabilities and costs of issuance of the Warrants. Proceeds of the Warrants are hereby confirmed as appropriated for the same purposes to which the Taxes were to be applied.

**Section 2. Authorization and Terms of Warrant.** For the purposes described above in Section 1, there is hereby provided the sum of up to \$971,120, to be derived from proceeds of the Warrant. For the purpose of financing such appropriation, a Warrant of the Issuer shall be issued and sold, at one time or from time to time, as funds in respect thereof are needed, in an aggregate principal amount of up to \$971,120, shall each be designated “**Tax Anticipation Warrant, Series 2014**”, “as the case may be, and shall be issuable in the denominations of \$10 or any authorized integral multiple thereof. The Warrant shall be numbered consecutively from 1 upwards in order of their issuance and may bear such identifying numbers or letters as shall be useful to facilitate the registration, transfer and exchange of the Warrant. Unless otherwise determined in an order to authenticate the Warrant, not inconsistent herewith, the Warrant shall be dated as of the date of issuance thereof. The Warrant shall mature on a date within 60 days of the anticipated date of receipt of the applicable installment of nursing home Taxes, and in the aggregate principal amount of not to exceed \$971,120 and shall bear interest at the rate or rates percent per annum not to exceed 5.0%, as shall be specified in an applicable Authenticating Order, presently expected to be \_\_\_\_\_% with \_\_\_\_\_, \_\_\_\_\_, Illinois as registered owner, registrar and paying agent, with one Warrant of \$971,120 due September 30, 2015.

The Warrant shall bear interest from its dated date, computed on the basis of a 360-day year consisting of twelve 30-day months, and payable in lawful money of the United States of America at maturity, or earlier redemption, as the case may be, at the rate or rates per annum above set forth. The principal of and premium, if any, on the Warrant shall be payable in lawful money of the United States of America upon presentation and surrender thereof at the designated financial institution (or officer of the Issuer, as the case may be) as Paying Agent for

the Warrant (including its successors, the “**Paying Agent**”). Interest on the Warrant shall be payable on each interest payment date to the registered owners of record appearing on the registration books maintained by the designated financial institution (or officer of the Issuer, as the case may be) as Registrar on behalf of the Issuer for such purpose (including its successors, the “**Registrar**”), at the designated office of the Registrar as of the close of business on the fifth (5th) business day next preceding the payment date. Interest on the Warrant shall be paid by check or draft mailed to such registered owners at their addresses appearing on the registration books therefor. The Registrar shall not be required to transfer or exchange any Warrant during a period commencing the fifth (5th) day next preceding the payment date and ending on such payment date. With notice to the Registrar 15 days before the designated redemption date (or lesser notice acceptable to the Registrar), the Warrant shall be subject to redemption prior to maturity, from Taxes if, as and when received, at the times, in the manner, with the notice and with the effect set forth in the form of the Warrant in Section 8 below.

Although the Warrant is authorized to mature and to bear interest at the rate or rates per annum, as set forth above, and have such other terms as herein provided, and the Warrant is nevertheless hereby authorized: to have a series designation, to have specified Purchasers, to mature in the specified principal amounts (not exceeding the aggregate the principal amount set forth above) and to bear interest at such other rate or rates, and have maturity or due dates, have paying agents and registrars or other fiscal agents, be subject to redemption and have such other terms and provisions as either (i) the County Board Chairman shall certify in an Authenticating Order at the time of delivery of the Warrant and payment therefor (with respect to which the term “**Authenticating Order**” shall mean, if at all and as executed and delivered, at one time or from time to time, one or more certificates as applicable to each series or to a particular draw or draws on the Warrant authorized under this resolution, signed by the County Board Chairman, and attested by the County Clerk and countersigned by the County Treasurer, under the Issuer’s seal, setting forth and specifying details of the Warrant, including but not limited to series designation, payment dates, other than as set forth above, interest rate or rates (but not to exceed 5.0%), interest and principal payment dates, aggregate principal amount (but not to exceed the aggregate principal amount or the rate set forth above), the principal and interest coming due in any applicable payment period, the issuance of a Warrant instrument in installment form in lieu of serial form or in serial form in lieu of installment form, as the case may be, optional and mandatory prepayment and redemption provisions, designation of a Paying Agent and/or Registrar, designation of a Warrant Purchaser or Purchasers or credit facility, sale price, and investment restrictions, not otherwise inconsistent with this resolution, and full authority is hereby given to the County Board Chairman to certify and specify such terms, without any further action by the Corporate Authorities than this resolution), or (ii) the Corporate Authorities in supplemental proceedings shall approve, in either case other than as specifically set forth in this resolution. All signatures of the officers on Warrant may be manual or facsimile signatures.

**Section 3. Sale and Delivery.** All acts and things done by officers of the Issuer in connection with the sale of the Warrant shall be and they are hereby in all respects ratified, confirmed and approved. Sales of the Warrant to Purchasers, at one time or from time to time, shall be and are hereby authorized and approved.

The County Board Chairman, County Clerk, County Treasurer, Co-Administrators and other officials of the Issuer are hereby authorized and directed to do and perform, or cause to be done or performed, for or on behalf of the Issuer each and every thing necessary for the issuance of the Warrant, including the due and proper execution, delivery and performance of this resolution and all related and incidental agreements, certificates, receipts and opinions, upon payment of the full purchase price of the Warrant, an amount equal to not less than 98% of par, plus accrued interest, if any.

**Section 4. Execution and Authentication.** The Warrant shall be executed in the name of the Issuer by the manual or authorized facsimile signature of its County Board Chairman and the corporate seal of the Issuer, or a facsimile thereof, shall be thereunto affixed or otherwise reproduced thereon, attested by the manual or authorized facsimile signature of its County Clerk, and countersigned by the County Treasurer.

In case any officer whose signature, or a facsimile of whose signature, shall appear on any Warrant shall cease to hold such office before the issuance of such Warrant, such Warrant shall nevertheless be valid and sufficient for all purposes, the same as if the person whose signature, or a facsimile thereof, appears on such Warrant had not ceased to hold such office. Any Warrant may be signed, sealed or attested on behalf of the Issuer by any person who, on the date of such act, shall hold the proper office, notwithstanding that at the date of such Warrant such person may not hold such office. No recourse shall be had for the payment of any Warrant against the County Board Chairman, the County Clerk, the County Treasurer or any member of the County Board or any officer or employee of the Issuer (past, present or future) who executes the Warrant, or on any other basis.

The Warrant shall bear thereon a certificate of authentication executed manually by the Registrar. No Warrant shall be entitled to any right or benefit under this resolution or shall be valid or obligatory of any purpose until such certificate of authentication shall have been duly executed by the Registrar. Such certificate of authentication shall have been duly executed by the Registrar by manual signature, and such certificate of authentication upon any such Warrant shall be conclusive evidence that such Warrant has been authenticated and delivered under this resolution. The certificate of authentication on any Warrant shall be deemed to have been executed by the Registrar if signed by an authorized officer of the Registrar, but it shall not be necessary that the same officer sign the certificate of authentication on all of the Warrants issued hereunder.

**Section 5. Transfer, Exchange and Registration.** The Warrant shall be negotiable, subject to the provisions for registration of transfer contained herein. The Warrant shall be transferable only upon the registration books maintained by the Registrar on behalf of the Issuer for that purpose at the designated office of the Registrar by the registered owner thereof in person or by such registered owner's attorney duly authorized in writing, upon surrender thereof together with a written instrument of transfer satisfactory to the Registrar and duly executed by the registered owner or such registered owner's duly authorized attorney. Upon the surrender for transfer of any such Warrant, the Issuer shall execute and the Registrar shall authenticate and deliver a new Warrant or Warrants registered in the name of the transferee, of the same aggregate principal amount, maturity and interest rate as the surrendered Warrant. The

Warrant, upon surrender thereof at the principal office of the Registrar, with a written instrument satisfactory to the Registrar, duly executed by the registered owner or such registered owner's attorney duly authorized in writing, may be exchanged for an equal aggregate principal amount of the Warrant of the same maturity and interest rate and of the denominations of \$10 each or any authorized integral multiple thereof, less previous retirements.

For every such exchange or registration of transfer of the Warrant, the Issuer or the Registrar may make a charge sufficient to reimburse it for any tax, fee or other governmental charge required to be paid with respect to such exchange or transfer, which sum or sums shall be paid by the person requesting such exchange or transfer as a condition precedent to the exercise of the privilege of making such exchange or transfer. No other charge shall be made for the privilege of making such transfer or exchange. The provisions of the Bond Replacement Act shall govern the replacement of lost, destroyed or defaced the Warrant.

The Issuer, the Registrar and the Paying Agent may deem and treat the person in whose name any Warrant shall be registered upon the registration books as the absolute owner of such Warrant, whether such Warrant shall be overdue or not, for the purpose of receiving payment of, or on account of, the principal of, premium, if any, or interest thereon and for all other purposes whatsoever, and all such payments so made to any such registered owner or upon such registered owner's order shall be valid and effectual to satisfy and discharge the liability upon such Warrant to the extent of the sum or sums so paid, and neither the Issuer nor the Registrar or the Paying Agent shall be affected by any notice to the contrary.

**Section 6. Registrar and Paying Agent.** The Issuer covenants that it shall at all times retain a Registrar and Paying Agent with respect to the Warrant and shall cause to be maintained at the office of the Registrar a place where the Warrant may be presented for registration of transfer or exchange, that it will maintain at the designated office of the Paying Agent a place where the Warrant may be presented for payment, that it shall require that the Registrar maintain proper registration books and that it shall require the Registrar and Paying Agent to perform the other duties and obligations imposed upon them by this resolution in a manner consistent with the standards, customs and practices concerning local government securities. The Issuer may enter into appropriate agreements with the Registrar and Paying Agent in connection with the foregoing, including as follows:

- (a) to act as Registrar, authenticating agent, Paying Agent and transfer agent as provided herein;
- (b) to maintain a list of registered owners of the Warrant as set forth herein and to furnish such list to the Issuer upon request, but otherwise to keep such list confidential;
- (c) to cancel and/or destroy Warrants which have been paid at maturity or submitted for exchange or transfer;
- (d) to furnish the Issuer a certificate with respect to Warrants cancelled and/or destroyed;

(e) to give notices of call for redemption; and

(f) to furnish the Issuer a confirmation statement of Warrants paid, Warrants outstanding and payments made with respect to interest on the Warrants.

In any event, (a) - (f) above shall apply to the Registrar and Paying Agent.

The Registrar and Paying Agent shall signify their acceptances of the duties and obligations imposed upon them by this resolution. The Registrar by executing the certificate of authentication on any Warrant shall be deemed to have certified to the Issuer that it has all requisite power to accept, and has accepted, including as Paying Agent in the case of \_\_\_\_\_, as the case may be, such duties and obligations not only with respect to the Warrant so authenticated but with respect to all of the Warrant. The Registrar and Paying Agent are the agents of the Issuer for such purposes and shall not be liable in connection with the performance of their respective duties, except for their own negligence or default. The Registrar shall, however, be responsible for any representation in its certificate of authentication on the Warrant.

The Issuer may remove the Registrar or Paying Agent at any time. In case at any time the Registrar or Paying Agent shall resign or shall be removed or shall become incapable of acting, or shall be adjudged a bankrupt or insolvent, or if a receiver, liquidator or conservator of the Registrar, or of its property, shall be appointed, or if any public officer shall take charge or control of the Registrar or Paying Agent or of their respective properties or affairs, the Issuer covenants and agrees that it will thereupon appoint a successor Registrar or Paying Agent, as the case may be. The Issuer shall mail or cause to be mailed notice of any such appointment made by it to each registered owner of the Warrant within ten (10) days after such appointment. Any Registrar or Paying Agent appointed under the provisions of this Section 6 shall be a bank, trust company, national banking association or other qualified professional with respect to such matters, maintaining a principal office in the State of Illinois.

**Section 7. Direct Obligations.** The Taxes and the full faith and credit of the Issuer are hereby irrevocably pledged to the punctual payment when due of the principal of and interest on the Warrant. The Warrant shall be direct obligations of the Issuer, provided that the Issuer shall not be obligated to separately levy ad valorem taxes (other than the Taxes) for the payment of the Warrant and the interest thereon.

**Section 8. Form of Warrant.** Subject to a Purchaser accepting typewritten Warrant, the Warrant shall be issued in fully registered form conforming to the industry customs and practices of printing, including part on the front and part on the reverse of the certificates, as appropriate, the blanks to be appropriately completed when the Warrant is delivered; and the Warrant shall be prepared in compliance with the National Standard Specifications for Fully Registered Municipal Securities prepared by the American National Standards Institute and, with appropriate insertions and modifications, shall be in substantially the form, as follows (The Warrant shall be conformed to an applicable Authenticating Order.):

**UNITED STATES OF AMERICA  
STATE OF ILLINOIS  
THE COUNTY OF CHAMPAIGN  
TAX ANTICIPATION WARRANT  
SERIES 2014\_\_**

**REGISTERED NO.** \_\_\_\_\_

**REGISTERED \$** \_\_\_\_\_

**INTEREST RATE:**

**MATURITY DATE:**

**DATED DATE:**

**Registered Owner:**

**Principal Amount:**

**KNOW ALL BY THESE PRESENTS** that The County of Champaign, a unit of local government of the State of Illinois (the “**Issuer**”), acknowledges itself indebted and for value received hereby promises to pay to the Registered Owner identified above, or registered assigns, the Principal Amount set forth above on the Maturity Date specified above, and to pay interest on such Principal Amount from the Dated Date hereof, at the Interest Rate per annum set forth above, computed on the basis of a 360-day year consisting of twelve 30-day months and payable in lawful money of the United States of America at maturity or earlier redemption, as the case may be, and until the Principal Amount hereof shall have been paid, by check or draft mailed to the Registered Owner of record hereof as of the fifth (5th) business day next preceding such payment date, at the address of such Registered Owner appearing on the registration books maintained for such purpose by \_\_\_\_\_, through its [designated corporate trust office in \_\_\_\_\_, Illinois, as Registrar (including its successors, the “**Registrar**”). This Warrant, as to principal and premium, if any, when due, will be payable in lawful money of the United States of America upon presentation and surrender of this Warrant at \_\_\_\_\_, through its designated payment office in \_\_\_\_\_, Illinois, as Paying Agent (including its successors, the “**Paying Agent**”).

Pursuant to a duly enacted proceedings adopted \_\_\_\_\_, 2014, the Issuer levied taxes for the nursing home for the tax levy year 2014, to be billed, extended, collected and received in 2015 (to the extent not yet received by the Issuer, the “**Taxes**”), the \_\_\_\_\_ installment of which Taxes are hereby pledged to the payment when due of the principal of and interest on the Warrant. In addition, pursuant to Section 14 of the Local Government Debt Reform Act, the full faith and credit of the Issuer, but excluding any separate and specific levy of general taxes therefor, are irrevocably pledged for the punctual payment when due of the principal of and interest on this Warrant according to its terms.

This Warrant is issued in the aggregate principal amount of \$971,120, which are all of like tenor, and which are authorized and issued under and pursuant to the Constitution and laws of the State of Illinois and pursuant to and in accordance with an authorizing resolution adopted by the County Board of the Issuer on \_\_\_\_\_, 2014, and entitled: “A Resolution Authorizing the Issuance of Tax Anticipation Warrants of The County of Champaign, Illinois, and Providing the Details of Such Warrants, and Related Matters.” The Warrant is issued under

the Constitution and laws of the State of Illinois, including the Warrants and Jurors Certificates Act and Section 14 of the Local Government Debt Reform Act, to anticipate the Taxes not yet received by the Issuer to assure that the Issuer will have funds to pay operating expenses and liabilities.

The Warrant is subject to redemption prior to maturity at the option of the Issuer as a whole or in part at any time (with notice as herein provided) in integral multiples of \$10 (to be selected by the Registrar in such manner as it shall deem fair and appropriate in the case of partial redemption of the Warrant) at a redemption price equal to the principal amount to be so redeemed plus accrued interest to the redemption date.

In the event of the redemption of less than all the Warrant, the aggregate principal amount thereof to be redeemed shall be \$10 each or an integral multiple thereof, and the Registrar shall assign to the Warrant of such maturity a distinctive number for each \$10 principal amount of the Warrant and shall select by lot from the numbers so assigned as many numbers as, at \$10 for each number, shall equal the principal amount of such Warrant to be redeemed. The Warrant or parts thereof to be redeemed shall be those to which were assigned numbers so selected; provided that only so much of the principal amount of the Warrant shall be redeemed as shall equal \$10 for each number assigned to it and so selected.

Notice of the redemption of the Warrant will be mailed not less than five (5) business days prior to the date fixed for such redemption to the registered owners of the Warrant to be redeemed at their last addresses appearing on the registration books therefor. The Registered Owner of this Warrant may waive such notice, presentment for payment and payment thereof being conclusive of such a waiver. The Warrant or portions thereof specified in such notice shall become due and payable at the applicable redemption price on the redemption date therein designated, and if, on the redemption date, moneys for payment of the redemption price of all the Warrant or portions thereof to be redeemed, together with interest to the redemption date, shall be available for such payment on such redemption date, and if notice of redemption shall have been mailed as herein set forth (and notwithstanding any defect therein or the lack of actual receipt thereof by any registered owner), then from and after the redemption date interest on such Warrant or portions thereof shall cease to accrue and become payable. All notices of redemption shall state the redemption date, the redemption price, if less than all outstanding Warrants are to be redeemed, the identification (and, in the case of partial redemption, the respective principal amounts in integral multiples of \$10) of the Warrant to be redeemed, that on the redemption date the redemption price will become due and payable upon each such Warrant or portion thereof called for redemption and, upon the deposit of funds therefor with the Paying Agent, that interest thereon shall cease to accrue from and after such redemption date, and the place where such Warrant are to be surrendered for payment of the redemption price, which place of payment shall be the principal [corporate trust] office of the Paying Agent in \_\_\_\_\_, Illinois.

This Warrant is transferable only upon the registration books therefor by the Registered Owner hereof in person, or by such Registered Owner's attorney duly authorized in writing, upon surrender hereof at the office of the Registrar in \_\_\_\_\_, Illinois, together with a written instrument of transfer satisfactory to the Registrar duly executed by the Registered



Owner or by such Registered Owner's duly authorized attorney, and thereupon a new registered Warrant or Warrants, in the authorized denominations of \$10 or any authorized integral multiple thereof and of the same aggregate principal amount as this Warrant, shall be issued to the transferee in exchange therefor. In like manner, this Warrant may be exchanged for an equal aggregate principal amount of the Warrant of any authorized denomination. The Registrar shall not be required to exchange or transfer any Warrant during the period from the fifth (5th) business day preceding the payment date to such payment date. The Issuer or the Registrar may make a charge sufficient to reimburse it for any tax, fee or other governmental charge required to be paid with respect to the transfer or exchange of this Warrant. No other charge shall be made for the privilege of making such transfer or exchange. The Issuer, the Registrar and the Paying Agent may treat and consider the person in whose name this Warrant is registered as the absolute owner hereof for the purpose of receiving payment of, or on account of, the principal, premium, if any, and interest due hereon and for all other purposes whatsoever, and all such payments so made to such Registered Owner or upon such Registered Owner's order shall be valid and effectual to satisfy and discharge the liability upon this Warrant to the extent of the sum or sums so paid, and neither the Issuer nor the Registrar or the Paying Agent shall be affected by any notice to the contrary.

No recourse shall be had for the payment of the Warrant against the County Board Chairman, the County Clerk, the County Treasurer, any member of the County Board or any other officer or employee of the Issuer (past, present or future) who executes any Warrant, or on any other basis.

The Issuer may remove the Registrar or Paying Agent at any time and for any reason and appoint a successor.

This Warrant shall not be valid or become obligatory for any purpose until the certificate of authentication hereon shall have been duly executed by the Registrar.

[The Issuer has designated the Warrant as “**qualified tax-exempt obligations**” under Section 265(b)(3) of the Internal Revenue Code of 1986, as amended.]

It is hereby certified, recited and declared that all acts, conditions and things required to be done, exist and be performed precedent to and in the issuance of this Warrant in order to make it a legal, valid and binding obligation of the Issuer have been done, exist and have been performed in regular and due time, form and manner as required by law, and that the Warrant, together with all other indebtedness of the Issuer, is within every debt or other limit prescribed by law.

**IN WITNESS WHEREOF**, The County of Champaign, Illinois, by its County Board has caused this Warrant to be executed in its name and on its behalf by the manual or facsimile signature of its County Board Chairman, and its corporate seal, or a facsimile thereof, to be hereunto affixed or otherwise reproduced hereon, attested by the manual or facsimile signature of its County Clerk, and countersigned by the manual or facsimile signature of its County Treasurer, all as of the Dated Date set forth above.

**THE COUNTY OF CHAMPAIGN,  
ILLINOIS**

(SEAL)

Attest:

\_\_\_\_\_  
County Clerk

\_\_\_\_\_  
County Board Chairman

Counter Signed:

\_\_\_\_\_  
County Treasurer

**CERTIFICATE OF AUTHENTICATION**

Dated: \_\_\_\_\_

This is the Tax Anticipation Warrant, Series 2014, described in the within mentioned resolution.

\_\_\_\_\_,  
\_\_\_\_\_, Illinois, as Registrar

By \_\_\_\_\_  
Authorized Signer

**Registrar and  
Paying Agent:** \_\_\_\_\_,  
\_\_\_\_\_, Illinois

**ASSIGNMENT**

For value received the undersigned sells, assigns and transfers unto \_\_\_\_\_ [Name,  
\_\_\_\_\_  
Address and Social Security Number or FEIN of Assignee]  
the within Warrant and hereby irrevocably constitutes and appoints \_\_\_\_\_  
\_\_\_\_\_ attorney to transfer the within Warrant on the books kept  
for registration thereof, with full power of substitution in the premises.

Dated \_\_\_\_\_  
\_\_\_\_\_  
Signature

Signature Guarantee:

\_\_\_\_\_  
Notice: The signature on this assignment must correspond with the name of the Registered Owner as it appears upon the face of the within Warrant in every particular, without alteration or enlargement or any change whatever.

**Section 9. Tax Covenant.** The Issuer covenants and agrees with the registered owners of the Warrant that so long as any of the Warrant remains outstanding, and unless and to the extent funds are then on deposit in the Debt Service Fund, established or continued in Section 10 below, the Issuer will take no action or fail to take any action which in any way would adversely affect the ability of the Issuer to levy, collect, receive and apply the Taxes as contemplated by this resolution, and the Issuer and its officers will comply with all present and future applicable laws in order to assure that the Taxes have been, will be and are levied, extended, billed, collected and received as provided herein and credited to or deposited in the Debt Service Fund, established or continued in Section 10 below, to pay the principal of and interest on the Warrant.

**Section 10. Debt Service Fund.** Moneys derived from the Taxes, and any other available sources, are appropriated and set aside for the sole purpose of paying principal of and interest on the Warrant when and as the same come due. All of such moneys, and all other moneys to be used for the payment of the principal of and interest on the Warrant, shall be deposited in the “**Debt Service Fund of 2014**” (the “**Debt Service Fund**”), with a separate account for each series, which shall be administered as a bona fide debt service fund under the Internal Revenue Code of 1986, as amended.

**Section 11. Proceeds Fund.** All of the proceeds of the sale of the Warrant shall be deposited in the “**Proceeds Fund of 2014**” (the “**Proceeds Fund**”), with a separate account for each series, as a special fund of the Issuer. Moneys in the Proceeds Fund shall be used for the purposes specified in Section 1 of this resolution, including for the payment of costs of issuance of the Warrant, but may thereafter be reappropriated and used for other lawful purposes of the Issuer. Before any such reappropriation shall be made, there shall be filed with the County Clerk an opinion of nationally recognized bond counsel (“**Bond Counsel**”) to the effect that such reappropriation will not adversely affect the tax-exempt status of the Warrant under Section 103 of the Internal Revenue Code of 1986, as amended.

**Section 12. Arbitrage Rebate.** The Issuer does not reasonably expect to issue more than \$5,000,000 of tax-exempt obligations in the calendar year of the issuance of the Warrant within the meaning of the small issuer exception under Section 148(f)(4)(D) of the Internal Revenue Code of 1986, as amended. However, if exceeded, the Issuer will comply with such Section 148(f). The Issuer shall comply with the provisions of Section 148(f) of the Internal Revenue Code of 1986, as amended, relating to the rebate of certain investment earnings at periodic intervals to the United States of America to the extent that such compliance is necessary to preserve the exclusion from gross income for federal income tax purposes of interest on the Warrant under Section 103 of the Internal Revenue Code of 1986, as amended.

**Section 13. Investment Regulations.** No investment shall be made of any moneys in the Debt Service Fund or the Proceeds Fund except in accordance with the tax covenants and other covenants set forth in Section 14 of this resolution. All income derived from such investments in respect of moneys or securities in any fund or account shall be credited in each case to the fund or account in which such moneys or securities are held.

Any moneys in any fund or account that are subject to investment yield restrictions may be invested in United States Treasury Securities, State and Local Government Series, pursuant to the regulations of the United States Treasury Department, Bureau of Public Debt. The Issuer's County Treasurer and agents designated by such officer are hereby authorized to submit, on behalf of the Issuer, subscriptions for such United States Treasury Securities and to request redemption of such United States Treasury Securities.

**Section 14. Non-Arbitrage and Tax-Exemption.** One purpose of this Section 14 is to set forth various facts regarding the Warrant and to establish the expectations of the Corporate Authorities and the Issuer as to future events regarding the Warrant and the use of proceeds of the Warrant. The certifications and representations made herein and at the time of the issuance of the Warrant is intended, and may be relied upon, as certifications and expectations described in the Income Tax Regulations dealing with arbitrage and rebate (the "**Regulations**"). The covenants and agreements contained herein, and at the time of the issuance of the Warrant, are made for the benefit of the registered owners from time to time of the Warrant. The Corporate Authorities and the Issuer agree, certify, covenant and represent as follows:

(1) The Warrant to be issued in anticipation of receipt of the specified installment of Taxes to pay municipal operational costs and liabilities and issuance costs as described in Section 1 above, and all of the amounts received upon the sale of the Warrant, plus all investment earnings thereon (the "**Proceeds**") are needed for the purpose for which the Warrant are being issued.

(2) The Issuer expects to apply proceeds of the Warrant to the costs in (1) above within three (3) months of the issuance of the Warrant.

(3) The Issuer has on hand no funds which could legally and practically be used for the purposes hereof which are not pledged, budgeted, earmarked or otherwise necessary to be used for other purposes. Accordingly, no portion of the Proceeds will be used (i) directly or indirectly to replace funds of the Issuer or any agency, department or division thereof that could be used for such purposes, or (ii) to replace any proceeds of any prior issuance of obligations by the Issuer. No portion of the Warrant is being issued solely for the purpose of investing the Proceeds at a Yield higher than the Yield on the Warrant. For purposes of this Section 14, "**Yield**" means that yield (that is, the discount rate) which when used in computing the present worth of all payments of principal and interest to be paid on an obligation (using semi-annual compounding on the basis of a 360-day year) produces an amount equal to its issue price, including accrued interest, and the purchase price of the Warrant is equal to the first offering price at which more than 10% of the principal amount of the Warrant is sold to the public (excluding bond houses, brokers or similar persons or organizations acting in the capacity of underwriters or wholesalers).

(4) All principal proceeds of the Warrant will be deposited in the Proceeds Fund for the purposes described in Section 1 above, and any accrued interest and premium received on the delivery of the Warrant, if any, will be deposited in the Debt Service

Fund and used to pay the first interest due on the Warrant. Earnings on investment of moneys in any fund or account will be credited to that fund or account. Costs for the purposes described in Section 1 above, including issuance costs of the Warrant, will be paid from the Proceeds Fund, and no other moneys are expected to be deposited therein. Interest on and principal of the Warrant will be paid from the Debt Service Fund. No Proceeds will be used more than 30 days after the date of issue of the Warrant for the purpose of paying any principal or interest on any issue of bonds, notes, certificates or warrants or on any installment contract or other obligation of the Issuer or for the purpose of replacing any funds of the Issuer used for such purpose.

(5) The Debt Service Fund is established to achieve a proper matching of revenues and earnings with debt service requirements. Other than any amounts held to pay principal of matured Warrant that has not been presented for payment, it is expected that any moneys deposited in the Debt Service Fund will be spent within the 12-month period beginning on the date of deposit therein. Any earnings from the investment of amounts in the Debt Service Fund will be spent within a one-year period beginning on the date of receipt of such investment earnings. Other than any amounts held to pay principal of matured Warrant that has not been presented for payment, it is expected that the Debt Service Fund will be depleted on or before the maturity date of the Warrant.

(6) Other than deposits of Taxes into the Debt Service Fund, no funds or accounts have been or are expected to be established, and no moneys or property have been or are expected to be pledged (no matter where held or the source thereof) which will be available to pay, directly or indirectly, the Warrant or restricted so as to give reasonable assurance of their availability for such purposes. No property of any kind is pledged to secure, or is available to pay, obligations of the Issuer to any credit enhancer or liquidity provider.

(7) (a) All amounts on deposit in the Proceeds Fund or the Debt Service Fund and all Proceeds, no matter in what funds or accounts deposited ("**Gross Proceeds**"), to the extent not exempted in (b) below, and all amounts in any fund or account pledged directly or indirectly to the payment of the Warrant which will be available to pay, directly or indirectly, the Warrant or restricted so as to give reasonable assurance of their availability for such purpose contrary to the expectations set forth in (6) above, shall be invested at market prices and at a Yield not in excess of the Yield on the Warrant.

(b) The following may be invested without Yield restriction:

(i) amounts invested in obligations described in Section 103(a) of the Internal Revenue Code of 1986, as amended (but not specified private activity bonds as defined in Section 57(a)(5)(C) of the Code), the interest on which is not includable in the gross income of any registered owner thereof for federal income tax purposes ("**Tax-Exempt Obligations**");

(ii) amounts deposited in the Debt Service Fund that are reasonably expected to be expended within 6 months from the deposit date and are to have not been on deposit therein for more than 6 months; and

(iii) all amounts for the first 30 days after they become Gross Proceeds (in general the date of deposit in any fund or account securing the Warrant); and

(8) Subject to (17) below, once moneys are subject to the Yield limits of (7)(a) above, such moneys remain Yield restricted until they cease to be Gross Proceeds.

(9) As set forth in Section 148(f)(4)(D) of the Internal Revenue Code of 1986, as amended, the Issuer is excepted from the required rebate of arbitrage profits on the Warrant because the Issuer is a governmental unit with general taxing powers, none of the Warrant is a “**private activity bond**” as defined in Section 141(a) of the Internal Revenue Code of 1986, as amended, all the net proceeds of the Warrant is to be used for the local government activities of the Issuer, and the aggregate face amount of all Tax-Exempt Obligations (other than “**private activity bonds**” as defined in Internal Revenue Code of 1986, as amended) issued by the Issuer and all subordinate entities thereof during the calendar year of issuance of Warrant, including the Warrant, is not reasonably expected to exceed \$5,000,000 under such Section 148(f)(4)(D). If such amount is exceeded, the Issuer will consult Bond Counsel concerning rebate obligations under Section 148 of the Code.

(10) None of the Proceeds will be used, directly or indirectly, to replace funds which were used in any business carried on by any person other than a state or local governmental unit.

(11) The payment of the principal of or the interest on the Warrant will not be, directly or indirectly (A) secured by any interest in (i) property used or to be used for a private business activity by any person other than a state or local governmental unit, or (ii) payments in respect of such property, or (B) derived from payments (whether or not by or to the Issuer), in respect of property, or borrowed money, used or to be used for a private business activity by any person other than a state or local governmental unit.

(12) The Issuer reasonably expects to achieve a cumulative tax flow deficit equal to not less than 90% of the Proceeds of the Warrant before the maturity date of the Warrant drawn upon. The Issuer is now experiencing, or imminently expects to experience, a cumulative tax flow deficit equal to not less than 90% of the Proceeds of the Warrant. None of the Proceeds will be used, directly or indirectly, to make or finance loans to persons other than a state or local governmental unit.

(13) No user of facilities in respect of the Warrant other than a state or local government unit will use such facilities on any basis other than the same basis as the general public, and no person other than a state or local governmental unit will be a user of such facilities as a result of (i) ownership, or (ii) actual or beneficial use pursuant to a

lease or a management or incentive payment contract, or (iii) any other similar arrangement.

(14) Beginning on the 15th day prior to the sale of the Warrant, the Issuer will not have sold or delivered, and will not sell or deliver (nor will it deliver within 15 days after the date of issue of the Warrant), any other obligations pursuant to a common plan of financing, which will be paid out of substantially the same source of funds (or which will have substantially the same claim to be paid out of substantially the same source of funds) as the Warrant or will be paid directly or indirectly from Proceeds.

(15) No portion of facilities in respect of the Warrant is expected to be sold or otherwise disposed of prior to the last maturity of the Warrant.

(16) The Issuer has not been notified of any disqualification or proposed disqualification of it by the Internal Revenue Service as a bond issuer which may certify bond issues under the Regulations.

(17) The Yield restrictions contained in (7) above or any other restriction or covenant contained herein may be violated or changed if the Issuer receives an opinion of Bond Counsel to the effect that such violation or change will not adversely affect the tax-exempt status of interest on the Warrant to which it is otherwise entitled.

(18) The Issuer acknowledges that any changes in facts or expectations from those set forth herein may result in different Yield restrictions or rebate requirements from those set forth herein and that Bond Counsel should be contacted if such changes do occur.

(19) The Corporate Authorities have no reason to believe the facts, estimates, circumstances and expectations set forth herein are untrue or incomplete in any material respect. On the basis of such facts, estimates, circumstances and expectations, it is not expected that the Proceeds or any other moneys or property will be used in a manner that will cause the Warrant to be arbitrage bonds within the meaning of Section 148 of the Internal Revenue Code of 1986, as amended, and of the Regulations. To the best of the knowledge and belief of the Corporate Authorities, such expectations are reasonable, and there are no other facts, estimates and circumstances that would materially change such expectations.

The Issuer also agrees and covenants with the registered owners of the Warrant from time to time outstanding that, to the extent possible under Illinois law, it will comply with whatever federal tax law is adopted in the future which applies to the Warrant and affects the tax-exempt status of the Warrant.

The Corporate Authorities hereby authorize the officials of the Issuer responsible for issuing the Warrant, the same being the County Board Chairman, County Clerk, the County Treasurer and the Co-Administrators of the Issuer, to make such further covenants and certifications as may be necessary to assure that the use thereof will not cause the Warrant to be

arbitrage bonds and to assure that the interest in the Warrant will be excluded from gross income for federal income tax purposes. In connection therewith, the Issuer and the Corporate Authorities further agree: (a) through the officers of the Issuer, to make such further specific covenants, representations as shall be truthful, and assurances as may be necessary or advisable; (b) to consult with Bond Counsel approving the Warrant and to comply with such advice as may be given; (c) to pay to the United States, as necessary, such sums of money representing required rebates of excess arbitrage profits relating to the Warrant; (d) to file such forms, statements, and supporting documents as may be required and in a timely manner; and (e) if deemed necessary or advisable, to employ and pay fiscal agents, financial advisors, attorneys, and other persons to assist the Issuer in such compliance.

**Section 15. Bank Qualified.** Pursuant to Section 265(b)(3) of the Internal Revenue Code of 1986, as amended, the Issuer hereby designates the Warrant as “**qualified tax-exempt obligations**” as defined in such Section 265(b)(3). The Issuer represents that the reasonably anticipated amount of tax-exempt obligations that will be issued by the Issuer and all subordinate entities of the Issuer during the calendar year in which the Warrant is issued will not exceed \$10,000,000 within the meaning of Section 265(b)(3) of the Internal Revenue Code of 1986, as amended. The Issuer covenants that it will not so designate and issue more than \$10,000,000 aggregate principal amount of tax-exempt obligations in such calendar year. For purposes of this Section 15, the term “**tax-exempt obligations**” includes “**qualified 501(c)(3) Bonds**” (as defined in the Section 145 of the Internal Revenue Code of 1986, as amended) but does not include other “**private activity bonds**” (as defined in Section 141 of the Internal Revenue Code of 1986, as amended).

**Section 16. Contract and Severability.** The provisions of this resolution shall constitute a contract between the Issuer and the owners of the Warrant. Any pledge made in this resolution and the provisions, covenants and agreements herein set forth to be performed by or on behalf of the Issuer shall be for the equal benefit, protection and security of the owners of any and all of the Warrant. All of the Warrant, regardless of the time or times of their issuance, shall be of equal rank without preference, priority or distinction of any of the Warrant over any other thereof except as expressly provided in or pursuant to this resolution. This resolution and the Act shall constitute full authority for the issuance of the Warrant, and to the extent that the provisions of this resolution conflict with the provisions of any other ordinance or resolution of the Issuer, the provisions of this resolution shall control. If any section, paragraph or provision of this resolution shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining provisions of this resolution.

**Section 17. Conflict and Repeal.** All ordinances, resolutions or parts thereof in conflict herewith be and the same are hereby repealed to the extent of such conflict, and this resolution shall be in full force and effect forthwith upon its adoption.

**Section 18. Effective Date.** This resolution shall become effective after its adoption as required by applicable law.



Upon motion by County Board Member \_\_\_\_\_,  
seconded by County Board Member \_\_\_\_\_, adopted this 20<sup>th</sup> day of  
November, 2014, by roll call vote, as follows:

Ayes (names): \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Nays (names): \_\_\_\_\_

Absent (names): \_\_\_\_\_

(SEAL)

Attest:

\_\_\_\_\_  
County Clerk, as *ex officio* Clerk to  
the County Board

\_\_\_\_\_  
County Board Chairman

STATE OF ILLINOIS )  
 ) SS  
THE COUNTY OF CHAMPAIGN )

**CERTIFICATION OF RESOLUTION**

I, the undersigned, do hereby certify that I am the duly qualified and acting County Clerk of The County of Champaign, Illinois (the “Municipality”), and that as such official I am the keeper of the records and files of the County and the County Board (the “Corporate Authorities”).

I do further certify that the foregoing is a full, true and complete excerpt from the proceedings of the meeting of the Corporate Authorities held on the 20<sup>th</sup> day of November, 2014, insofar as the same relates to the adoption of a resolution numbered and entitled:

**RESOLUTION NO. \_\_\_\_\_**

**A RESOLUTION AUTHORIZING THE ISSUANCE OF TAX ANTICIPATION WARRANTS OF THE COUNTY OF CHAMPAIGN, ILLINOIS, AND PROVIDING THE DETAILS OF SUCH WARRANTS, AND RELATED MATTERS,**

a true, correct and complete copy of which resolution as adopted at such meeting appears in the foregoing transcript of the minutes of such meeting.

I do further certify that the deliberations of the Corporate Authorities on the adoption of such resolution were conducted openly, that the vote on the adoption of such resolution was taken openly and was preceded by a public recital of the matter being considered and such other information as would inform the public of the business being conducted, that such meeting was held at a specified time and place convenient to the public, that the meeting agenda was duly posted on the County’s website and at the County Courthouse and the Brookens Administrative Center (with all pages continuously visible and readable at street level to the outside 24/7) at least 48 hours before the meeting, that notice of such meeting was duly given to all of the news media requesting such notice, that such meeting was called and held in strict compliance with the provisions of the open meetings laws of the State of Illinois, as amended, and with the provisions of the Counties Code of the State of Illinois, as amended, and that the Corporate Authorities have complied with all of the procedural rules of the Corporate Authorities.

IN WITNESS WHEREOF, I hereunto affix my official signature, this \_\_\_\_\_ day of \_\_\_\_\_, 2014.

(SEAL)

\_\_\_\_\_  
County Clerk

**General Corporate Fund FY2014 Revenue Projection Report**

August	10/31/2014	FY2013 Total - (Adjusted for 13 Month Comparison)	FY2014 - YTD 10/31/2014	FY2014 Budget	Projected % to be Received	Projected \$\$ to be Received	\$ Difference to Original Budget
Property Taxes	\$8,232,750	\$8,481,519	\$8,737,481	\$8,998,568	100.00%	\$8,998,568	\$0
Back Taxes	\$0	\$4,554	\$0	\$8,000	100.00%	\$8,000	\$0
Mobile Home Tax	\$0	\$9,135	\$0	\$9,000	100.00%	\$9,000	\$0
Payment in Lieu of Tax	\$3,823	\$9,811	\$1,587	\$4,600	100.00%	\$4,600	\$0
Hotel Motel Tax	\$23,638	\$30,207	\$27,292	\$28,500	122.37%	\$34,875.91	\$6,376
Auto Rental Tax	\$23,564	\$32,356	\$23,876	\$32,000	102.45%	\$32,784	\$784
Penalties on Taxes	\$317,772	\$593,465	\$299,917	\$693,200	80.80%	\$560,119	-\$133,081
Business Licenses & Permits	\$34,299	\$36,372	\$41,351	\$36,500	113.29%	\$41,351	\$4,851
Non-Business Licenses & Permits	\$1,180,810	\$1,404,433	\$1,218,151	\$1,728,378	83.83%	\$1,448,846	-\$279,532
Federal Grants	\$383,585	\$116,370	\$257,452	\$348,876	100.00%	\$348,876	\$0
State Grants	\$201,000	\$234,214	\$149,234	\$150,334	100.00%	\$150,334	\$0
Corporate Personal Property Replacement Tax	\$999,854	\$1,041,277	\$817,131	\$981,966	99.73%	\$979,333	-\$2,633
1% Sales Tax	\$983,540	\$1,338,466	\$1,019,453	\$1,322,645	104.89%	\$1,387,339	\$64,694
1/4% Sales Tax	\$4,405,839	\$5,763,397	\$4,031,058	\$5,818,083	101.14%	\$5,884,389	\$66,306
Use Tax	\$447,670	\$584,769	\$441,124	\$576,540	99.94%	\$576,218	-\$322
State Reimbursement	\$1,309,277	\$1,953,368	\$1,249,004	\$1,689,417	100.00%	\$1,689,417	\$0
ILETSB Police Training Reimbursement	\$9,821	\$9,821	\$6,091	\$0	100.00%	\$6,091	\$6,091
State Salary Reimbursement	\$275,737	\$338,117	\$261,942	\$328,468	100.00%	\$328,468	\$0
State Revenue Salary Stipends	\$45,500	\$45,500	\$45,500	\$49,042	92.78%	\$45,500	-\$3,542
Income Tax	\$2,707,166	\$3,544,086	\$2,421,004	\$3,394,981	97.98%	\$3,326,257	-\$68,724
Charitable Games License/Tax	\$37,396	\$48,840	\$40,460	\$51,500	102.61%	\$52,842	\$1,342
Off-Track Betting	\$36,932	\$45,554	\$30,658	\$48,000	78.78%	\$37,815.84	-\$10,184
Local Government Revenue	\$509,448	\$355,859	\$355,859	\$641,215	100.00%	\$641,215	\$0
Local Government Reimbursement	\$481,634	\$628,150	\$463,060	\$633,000	100.00%	\$633,000	\$0
General Government - Fees	\$3,225,680	\$4,211,053	\$3,238,758	\$5,271,449	80.21%	\$4,228,125	-\$1,043,324
Fines	\$758,969	\$999,170	\$857,499	\$1,098,500	102.77%	\$1,128,882.99	\$30,383
Forfeitures	\$4,614	\$17,837	\$6,228	\$17,000	141.64%	\$24,079	\$7,079
Interest Earnings	\$3,434	\$5,659	\$5,050	\$11,400	73.02%	\$8,324	-\$3,076
Rents & Royalties	\$452,029	\$1,149,340	\$1,032,963	\$1,046,722	110.23%	\$1,153,813	\$107,091
Gifts & Donations	\$10,629	\$13,604	\$31,389	\$31,500	99.65%	\$31,389	-\$111
Sale of Fixed Assets	\$18,822	\$21,822	\$535	\$20,000	100.00%	\$20,000	\$0
Miscellaneous Revenue	\$192,538	\$228,692	\$293,826	\$126,283	276.36%	\$348,999.00	\$222,716
Interfund Transfers	\$741,875	\$1,116,629	\$566,951	\$1,074,830	96.00%	\$1,031,830	-\$43,000
Interfund Reimbursements	\$93,360	\$406,616	\$71,334	\$653,234	100.00%	\$653,234	\$0
<b>TOTAL</b>	<b>\$28,153,002</b>	<b>\$34,829,880</b>	<b>\$28,043,215</b>	<b>\$36,923,731</b>	<b>97.10%</b>	<b>\$35,853,914</b>	<b>-\$1,069,817</b>

**General Corporate Fund FY2014 Expenditure Projection Report**

<b>August</b>	<b>FY2013 YTD 10/31/13</b>	<b>FY2013 Total - (Adjusted for 13 Month Comparison)</b>	<b>FY2014 - YTD 10/31/14</b>	<b>FY2014 Budget</b>	<b>Projected % to be Received</b>	<b>Projected \$\$ to be Spent</b>	<b>\$ Difference to Original Budget</b>
<b>PERSONNEL</b>							
Regular Salaries & Wages	\$11,181,778	\$12,943,166	\$12,033,397	\$15,522,825	93.45%	\$14,505,476	-\$1,017,349
SLEP Salaries	\$6,311,570	\$7,795,384	\$5,692,653	\$7,372,477	92.99%	\$6,855,407	-\$517,070
SLEP Overtime	\$334,626	\$505,658	\$408,654	\$528,155	93.18%	\$492,124	-\$36,031
Fringe Benefits	\$2,206,356	\$2,695,564	\$2,583,656	\$2,977,771	102.54%	\$3,053,411	\$75,640
<b>COMMODITIES</b>							
Postage	\$206,316	\$209,968	\$233,657	\$234,572	103.29%	\$242,296	\$7,724
Purchase Document Stamps	\$748,150	\$916,100	\$760,000	\$975,000	94.46%	\$921,000.00	-\$54,000
Gasoline & Oil	\$184,341	\$257,983	\$200,786	\$301,613	93.16%	\$280,997	-\$20,616
All Other Commodities	\$492,214	\$681,456	\$543,510	\$802,534	94.51%	\$758,472.64	-\$44,061
<b>SERVICES</b>							
Gas Service	\$279,379	\$377,498	\$361,432	\$400,000	108.59%	\$434,367.68	\$34,368
Electric Service	\$688,111	\$876,812	\$634,293	\$900,000	89.80%	\$808,235	-\$91,765
Medical Services	\$762,398	\$1,003,365	\$755,641	\$848,374	117.22%	\$994,471.93	\$146,098
All Other Services	\$3,327,398	\$4,380,609	\$3,610,191	\$4,834,267	99.08%	\$4,789,913	-\$44,354
<b>CAPITAL</b>							
Vehicles	\$113,745	\$191,880	\$156,360	\$230,000	100.00%	\$230,000	\$0
All Other Capital	\$111,819	\$236,530	\$18,571	\$25,676	100.00%	\$25,676	\$0
<b>TRANSFERS</b>							
To Capital Improvement Fund	\$0	\$123,278	\$0	\$778,662	100.00%	\$778,662	\$0
To All Other Funds	\$47,489	\$515,550	\$46,963	\$251,617	100.00%	\$251,617	\$0
<b>DEBT REPAYMENT</b>							
	\$540,971	\$549,721	\$546,103	\$960,460	100.00%	\$960,460	\$0
<b>TOTAL</b>	<b>\$27,536,664</b>	<b>\$34,260,521</b>	<b>\$28,585,866</b>	<b>\$37,944,003</b>	<b>95.88%</b>	<b>\$36,382,587</b>	<b>-\$1,561,416</b>

**General Corporate Fund FY2014 Summary Projection Report**

FUND BALANCE 11/30/13	\$6,040,570	
BEGINNING FUND BALANCE % OF BUDGET -	15.92%	
	<i>Budgeted</i>	<i>Projected</i>
ADD FY2014 REVENUE	\$36,923,731	\$35,853,914
LESS FY2014 EXPENDITURE	\$37,944,003	\$36,382,587
<b>Revenue to Expenditure Difference</b>	<b>-\$1,020,272</b>	<b>-\$528,673</b>
<b>FUND BALANCE PROJECTION - End FY2014</b>	<b>\$5,020,298</b>	<b>\$5,511,897</b>
<b>% OF 2014 Expenditure Budget</b>	<b>13.23%</b>	<b>15.15%</b>

## GENERAL CORPORATE FUND - FY2014 BUDGET CHANGE REPORT

<b>General Corporate Fund Original Budget As Of:</b>	<b>12/1/2013</b>
<b>Expenditure</b>	<b>\$37,838,231</b>
<b>Revenue</b>	<b>\$36,874,235</b>
<b>Revenue/Expenditure Difference</b>	<b>(\$963,996)</b>

<b>General Corporate Fund Budget As Of:</b>		<b>11/13/2014</b>	
<b>Expenditure</b>	<b>\$37,944,003</b>	<b>% Inc/Dec</b>	<b>0.28%</b>
<b>Revenue</b>	<b>\$36,923,731</b>	<b>% Inc/Dec</b>	<b>0.13%</b>
			<b>Revenue/Exp. (\$1,020,272)</b>

### EXPENDITURE CHANGES

Department	Description	Expenditure Change	Revenue Change	Difference
Physical Plant	Re-Encumber Funds for ILEAS Elevator Upgrade	\$1,983	\$0	(\$1,983)
VAC	Funds donated in 2013 to be spent in FY2014	\$1,500	\$0	(\$1,500)
IT	3-Month Over-Hire to Replace Key Position	\$12,429	\$0	(\$12,429)
County Board	2014 MLK Event Revenue & Expense re-encumbered from 2013 to 2014	\$2,450	\$2,450	\$0
IT	Courthouse UPS System	\$9,850	\$0	(\$9,850)
Planning & Zoning	Wilber Heights PER	\$20,600	\$3,000	(\$17,600)
County Board	Clinton Landfill Legal Challenge - Re-encumbered from 2013 to 2014	\$12,917	\$0	(\$12,917)
VAC	Receipt of Gift for Food Vouchers for Veterans	\$500	\$500	\$0
EMA	Volunteer Tracking System	\$19,547	\$19,550	\$3
EMA	State Reimbursement & Expenses for Gifford	\$22,996	\$22,996	\$0
VAC	VFW Donation to be used for Food Vouchers	\$1,000	\$1,000	\$0
<b>TOTAL</b>		<b>\$105,772</b>	<b>\$49,496</b>	<b>(\$56,276)</b>

<b>Changes Attributable to Recurring Costs</b>	<b>\$1,000</b>	<b>\$1,000</b>	<b>\$0</b>
<b>Changes Attributable to 1-Time Expenses</b>	<b>\$104,772</b>	<b>\$48,496</b>	<b>(\$56,276)</b>